

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D. C. 20521  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/729,069	12/04/2000	Nicolas Nagel	GR 99 P 5374

CONFIRMATION NO. 6450

## FORMALITIES LETTER



\*OC000000005681355\*

LERNER AND GREENBERG, P.A.  
Post Office Box 2480  
Hollywood, FL 33022-2480

Date Mailed: 02/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$134.
  - \$54 for 3 total claims over 20.
  - \$80 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 264.

The following item(s) appear to have been **omitted** from the application:

- Figure(s) **2F** described in the specification.

**RECEIVED FEB 28 2001**

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

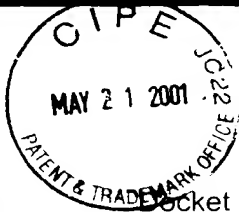
*A copy of this notice **MUST** be returned with the reply.*

*Request withdrawn*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



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Packet No.: GR 99 P 5374

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

By: 

Date: May 15, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Nicolas Nagel et al.  
Appl. No. : 09/729,069  
Filed : December 4, 2000  
Title : Microelectronic Structure and Method of Fabricating it  
Art Unit : 2812

**LETTER**

Hon. Commissioner of Patents and Trademarks,  
Washington, D.C. 20231

Sir:

The above-mentioned new patent application was filed on December 4, 2000 without a signed oath or declaration, under the provision of 37 C.F.R. 1.53(f).

In accordance with the above-mentioned rule, enclosed herewith is the original signed declaration as required by the Notice To File Missing Parts Of Application dated February 20, 2001.

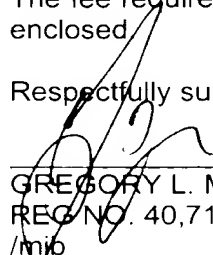
The undersigned hereby states that the application filed in the Patent and Trademark Office is the application which the inventor(s) executed by signing the declaration. MPEP 601.01(a).

It is also stated in the Notice To File Missing Parts that the filing fee submitted on December 4, 2000 was insufficient, and that item 2F had been omitted from the application. Counsel submitted a preliminary amendment on April 16, 2001 addressing these issues. Enclosed is said copy of the preliminary amendment.

Petition for extension is herewith made. The extension fee for response within a period of one month pursuant to Section 1.136(a) in the amount of \$110.00 in accordance with Section 1.17 is enclosed herewith. Please charge any other fees which might be due with respect to Sections 1.16 and 1.17 to Deposit Account No. 12-1099 of Lerner and Greenberg, P.A..

The fee required for the late filing of an oath or declaration in the amount of \$130.00 is also enclosed.

Respectfully submitted,

  
GREGORY L. MAYBACK  
REG NO. 40,719

/mjb

Date: May 15, 2001  
Lerner and Greenberg, P.A.  
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Tel: (954) 925-1100  
Fax: (954) 925-1101



**LERNER AND GREENBERG P.A.**  
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P.O. BOX 2480  
HOLLYWOOD, FL 33022-2480  
PHONE (954) 325-1100

**SUNTRUST BANK, TALLAHASSEE, N.A.**  
HALLANDALE, FL 33009  
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12/4/2000

PAY TO THE ORDER OF Hon. Commissioner of Patents & Trademark

\$ \*\*\$44.00

Eight Hundred Forty-Four and 00/100

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COLLAPSE

Docket #: GR99P5374US  
Applicant: Nicolas Nagel et al.

MEMO GR99P5374US

*W. W. S.*  
AUTHORIZED SIGNATURE

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3 SECURITY FEATURES INCLUDED. DETAILS ON BACK. 3

PATENT AND TRADEMARK OFFICE  
13-10-0001  
12-07-2000  
FOR CREDIT TO THE  
U.S. TREASURY

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**LERNER AND GREENBERG P.A./DISBURSEMENT ACCOUNT**

Hon. Commissioner of Patents & Trademark  
Filing Fee (1@ \$710.00)  
Claims (3@ \$18.00)  
Ind Claims (1@ \$80.00)

12/4/2000

Government filing fee  
Government Fee for ( ) additional claim(s) in excess of twenty (2)  
Fee for ( ) additional Independent claim (s) in excess of three (3)

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710.00  
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